



Nasdaq Per Query System Description

Distributors intending to report based upon the number of queries distributed each month must comply with the following requirements for providing queries. . A **QUERY is defined as Information on a single security delivered once to a single device/user id**. It may be required that each distributor provide a demonstration of the query counting system prior to implementation. **NOTE: Distributors are not permitted to offer NASDAQ proprietary products such as NASDAQ index, NASDAQ TotalView or NASDAQ OpenView on a per query basis.**

Date: _____

Name of Your Firm: (Distributor): _____

Name of System: _____

Name of Datafeed Provider: _____

Per Query Application Contact			
Mr./Ms./Mrs.	_____		_____
	First Name		Last Name
	_____	_____	_____
	Phone Number		E-mail Address

- Distributors must count and report all real-time queries distributed during the below listed timeframes. Indicate the time period(s) your system distributes and your system counts queries.

	Timeframe	Yes/No	
Pre-market session:	4:00 a.m. to 9:45 a.m., Eastern Time (ET)	Yes	No
Regular market session:	9:30 a.m. to 4:15 p.m., ET	Yes	No
Post-market session:	4:00 p.m. to 8:15 p.m., ET	Yes	No

- Provide the values (code script) that your firm utilizes to differentiate between the 5 markets:

Security Type	Value Shown
NASDAQ Global Select	
NASDAQ Global	
NASDAQ Capital Market	
OTC Bulletin Board	
Other OTC Securities	

- Including: portfolio screens; charts; pre-trade and post trade confirmations; and real-time queries provided to delayed subscribers at the time of the trade. Indicate pages your system offers real-time queries.

Page Display	Yes/No	
Single Security Information	Yes	No
Portfolio	Yes	No
Charts/Graphs	Yes	No
Pre-trade confirmation	Yes	No
Post trade confirmation	Yes	No
Others: _____	Yes	No

I certify that the information provided on this Per Query System Description is accurate.

Signature: _____ Title: _____

Name: _____ Date: _____