

## Instructions for Mergers, Acquisitions and Name Changes

### Mergers and Acquisitions

A firm that has or will change its corporate name due to a merger or acquisition may request that NASDAQ OMX change its name on their invoice and on other documents by either:

- Signing new market data agreements with NASDAQ OMX, executed under the new corporate name, **or**
- Submitting a written notification of merger or acquisition activity and name change to NASDAQ OMX. **All requests must include current account numbers.**

Please note that if any agreement is being assigned either by affirmative action or by operation of law as a result of the merger or acquisition, please see the relevant Agreement with NASDAQ OMX as NASDAQ OMX's prior consent to the assignment may be required.

### Name Changes Not Due to Merger or Acquisition

Other name change requests may be accommodated without the execution of new agreements. To requests a name change in this instance, submit a letter detailing the name change and the general reason for the change (e.g. marketing purposes). The letter must be on official corporate letterhead reflecting the name of the new organization.

### Submission of Notification

Please submit new agreements, notification and/or consent request to:

**The NASDAQ OMX Group, Inc.**  
 Global Data Products  
 Four Irvington Centre  
 805 King Farm Boulevard  
 Rockville, MD 20850  
 Fax: 301.978.5295  
 Email: [dataops@nasdaqomx.com](mailto:dataops@nasdaqomx.com)

### Reference

The following NASDAQ OMX Agreements and relative sections reference name changes:

#### [NASDAQ OMX Global Data Agreement](#)

- Section 8. Notices; Notification of Changes

#### [NASDAQ Vendor Agreement \(UTP data\)](#)

- Section 10.01. Assignment; Third-Party Rights
- Section 10.15. Notification

### Questions

For any questions about the NASDAQ OMX market data administrative requirements for name changes, mergers or acquisitions, please contact [Global Data Products Operations](#) at 301.978.5307.

*Disclaimer: This page is effective as of the date posted.*